IN THE UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF ILLINOIS EASTERN DIVISION

SUBHASH MANNAVA,)
Plaintiff,) Civil No. 21-cv-1303)
v.)
TD AMERITRADE, INC.,)
Defendant.)
)

CORPORATE DISCLOSURE STATEMENT OF DEFENDANT TO AMERITRADE, INC.

Pursuant to Rule 7.1 of the Federal Rules of Civil Procedure and Local Rule 3.2, the undersigned counsel for Defendant TD Ameritrade, Inc. certify that:

TD Ameritrade, Inc. is a wholly owned subsidiary of TD Ameritrade Holding Corporation, which is a wholly owned subsidiary of The Charles Schwab Corporation, a publicly traded corporation. The Toronto Dominion Bank, a publicly held entity, owns less than 10% of The Charles Schwab Corporation's voting common stock (and combined with its ownership of a separate class of non-voting common stock, owns more than 10% of common stock of The Charles Schwab Corporation).

Dated: March 9, 2021 Respectfully submitted,

TD AMERITRADE, INC.

By: /s/ Lauren J. Caisman
Lauren J. Caisman
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Attorneys for Defendant TD Ameritrade, Inc.

CERTIFICATE OF SERVICE

The undersigned, an attorney, certifies that a true and correct copy of the foregoing document was served via electronic mail and facsimile, this 9th day of March 2021, to the following counsel of record:

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> <u>/s/ Lauren J. Caisman</u> Lauren J. Caisman